

All newly contracted Agents must enroll within 45 days of their AuguStar Life, AuguStar Retirement or ONESCO contract date.

Agents with expiring coverage must enroll within 30 days.

2024–2025 Enrollment Form

Claims-Made and Reported Errors & Omissions Coverage*

(*other than NY Insureds)

Policy Period: April 1, 2024 - April 1, 2025

10308401

1. Complete Your Personal Information (please print)

First Name _____ Last Name _____

Agent # (required) _____ Email Address _____

Address _____

City _____ State _____ ZIP _____

Daytime Phone (_____) _____ Fax (_____) _____

2. Select Coverage

Only Agents/Representatives holding an active contract with AuguStar Life, AuguStar Retirement, or ONESCO are eligible for this E&O coverage.

A. Effective Date of coverage

- ____/____/____ (mm/dd/yyyy)
- For newly contracted agents: Match to AuguStar Life, AuguStar Retirement or ONESCO Contract Effective Date

C. Limits of Liability (check one):

- \$1,000,000 per claim/\$1,000,000 annual aggregate*
- \$2,000,000 per claim/\$2,000,000 annual aggregate
- \$5,000,000 per claim/\$5,000,000 annual aggregate

B. Professional Services (check one):

- Life Agent Only
- Life Agent Plus Mutual Funds & Variable Products* (includes Series 6 & 63)
 - Prior Acts Extension for Registered Representatives
- Life Agent Plus Financial Products* (includes series 7, 22, 24, 65, 66)
 - Prior Acts Extension for Registered Representatives

D. Premium due (from table attached) \$ _____

***ONESCO Registered Representatives — the Life Agents Only coverage level and the \$1,000,000/\$1,000,000 Limits of Liability are not available options.**

3. Sign and Date

I understand and agree to the following: I must be a currently contracted agent with AuguStar Financial or its subsidiaries to be eligible for this program. Otherwise, I will not be considered an insured under this policy, no claims made against me will be covered, and any premiums paid by me will be returned. *Should my contract with AuguStar Financial or its subsidiaries terminate for any reason, coverage will terminate on the same date as my AuguStar Financial termination. I will be provided a One-Year Extended Reporting Period (ERP) at no charge unless terminated for disciplinary reasons or I have current coverage under another policy. The ERP allows me additional time to report claims first made during the extended reporting period and occurring prior to the termination of coverage and after the date of my first continuous claims-made E&O coverage.*

This is a claims-made and reported policy. I have no knowledge of any pending claim or incident that could give rise to a claim under the proposed policy, and if any such claim exists, or knowledge or information exists and any claim or action arises therefrom, it is excluded from coverage for which this renewal form applies. A potential gap in coverage may occur if I elect an effective date that is not continuous with my prior expiration date, and may result in denial of a claim.

Signature _____ Date _____

**This program is underwritten by Continental Casualty Company (one of the CNA companies).*

4. Return Enrollment Form and Provide Payment

• **Agents/Reps with a New AuguStar Financial Contract:**

- AuguStar Financial requires return of the completed and signed enrollment form to documentcenter@augustarfinancial.com

• **All Other Applicants:**

- **Submit & Pay by Credit/Debit Card or Bank Draft:** complete the Authorization Agreement and follow the submission instructions on that form.

- **Pay by Check:** mail this signed, completed enrollment form to the address below with a check made payable to AMBA.

- AMBA, PO Box 850179, Minneapolis, MN 55485-0179

Questions? Contact AMBA at 1.800.627.5538, Monday – Friday, 8am-5pm (CT)

